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PTO/SB/21 (08-00)

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TRANSMITTAL FORM

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Application Number	09/839,529
Filing Date	April 20, 2001
First Named Inventor	Hausman
Group Art Unit	3628
Examiner Name	Not Yet Assigned
Attorney Docket Number	3524/33

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

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Firm or Individual name	Matthew J. Marquardt, Reg. No. 40,997
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Date	July 30, 2003

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PATENT
Attorney Docket No.: 3524/33

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hausman

Application No: 09/839,529

Filed: April 20, 2001

For: Price Change of Orders from Reserve
in an Electronic Trading System

Art Unit: 3628

Examiner: Not Yet Assigned

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SECOND INFORMATION DISCLOSURE STATEMENT

Applicant respectfully submits herewith an Information Disclosure Statement (IDS), an Information Disclosure Citation List, and a copy of each document listed therein. The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

Several items listed in the attached citation list were first cited in a counterpart PCT international patent application. A copy of the International Search Report in which those items were first cited is enclosed.


Item B1 is a PCT international patent application claiming priority from U.S. Patent Application No. 09/584,045, a copy of which is enclosed. Neither item is considered prior art to this application. Both are co-pending applications commonly owned by the same Applicant.

This IDS is being filed before the mailing date of a first office action on the merits and thus no fee is due. The Commissioner is hereby authorized to charge any deficiency, or to credit any overpayment, to the undersigned attorney's Deposit Account No. 02-4270.

I hereby certify that this paper, together with any document referred to as attached or enclosed, is being deposited this date with the U.S. Postal Service with sufficient postage as First Class Mail addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Respectfully submitted,

Dated: July 30, 2003



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